

09/19/03  
6367 U.S. PTO

PATENT

22154 U.S. PTO  
10/666121  
09/19/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Transmittal of New Patent Application

Title: **Method and Apparatus for Monitoring the Autonomic Nervous System**

Inventors: Lyle Aaron Margulies, David B. Harrell and Michael Riggins

Atty Docket No.: PTS103

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing is the above referenced patent application.

- I. This is a new application for a patent.
- II. Benefit of prior U.S. Applications under 35 USC 119(e) is being claimed. Enclosed is one page of ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION IS CLAIMED.

- III. The following papers are enclosed which are required for obtaining a filing date under 37 CFR 1.53(b) or 1.153:

18 pages of specification

4 pages of claims

1 page of Abstract

7 sheets of drawings

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence (and any correspondence being indicated as attached or enclosed) is being deposited with the U.S. Postal Service as "Express Mail Post Office to Addressee" Mailing Label Number EL767786225US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on 09/ /03  
Date

Kristi L Garrison  
Signature

Kristi L Garrison  
Name of person signing certificate

IV. The Oath or Declaration of Inventorship executed by the inventors is enclosed. The inventors qualify for small entity status.

V. The inventorship for the claims in this application are as follows: all claims are attributable to the named inventors.

VI. The language of the application is English.

VII. An assignment of the invention has been made.

VIII. Because the present application does not claim priority to a foreign application, no certified copies thereof are being provided.

IX. Fee Calculation:

A. For a Utility application, the basic fee is \$740.00 plus claim surcharges:

Filed	Allowed	Extra	Rate	Surcharge
Total Claims:	- 20	= 0	X \$18.00	\$00.00
Independent Claims:	- 3	= 0	X \$84.00	\$00.00
Multiple Dependent:	- 0	= 0	X \$280.00	\$00.00

Total Due: \$740.

X. Small entity is being claimed for this application under 35 U.S.C. 119(e).

Filing Fee Calculation (50% of A above): \$370.

XI. The basic filing fee as indicated in X above is to be charged to Visa. A Credit Card Payment Form is enclosed.

XII. Please charge any deficit or credit any excess fees to our Deposit Account No. 50-0684.

XIII. Please send all notices and correspondence to David L. Garrison, an attorney identified in the Combined Declaration and Power of Attorney.

Respectfully Submitted,

*Garrison & Associates PS*



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**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**XIV. Relate Back**

**A. 35 U.S.C. 119(e)**

The specification has been amended by inserting, before the first line, the following sentence:

“This application claims the benefit of United States Provisional Application Serial No. 60/412,310, entitled **“Method and Apparatus for Monitoring the Autonomous Nervous System**, filed September 20, 2003.”